

# PATENT APPLICATION FEE DETERMINATION RECORD

Effective October 1, 2001

Application or Docket Number

10005609

## CLAIMS AS FILED - PART I

	(Column 1)	(Column 2)
TOTAL CLAIMS	55	5
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	55 minus 20 =	* 35
INDEPENDENT CLAIMS	11 minus 3 =	* 8
MULTIPLE DEPENDENT CLAIM PRESENT <input type="checkbox"/>		

\* If the difference in column 1 is less than zero, enter "0" in column 2

## CLAIMS AS AMENDED - PART II

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	* 31	Minus ** 55	= -
Independent	* 13	Minus *** 11	= 2
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

SMALL ENTITY TYPE ☐ OR

OTHER THAN SMALL ENTITY

RATE	FEE	OR	RATE	FEE
BASIC FEE	370.00	OR	BASIC FEE	740.00
X\$ 9=		OR	X\$18=	630
X42=		OR	X84=	672
+140=		OR	+280=	
TOTAL		OR	TOTAL	2042

SMALL ENTITY OR

OTHER THAN SMALL ENTITY

RATE	ADDITIONAL FEE	OR	RATE	ADDITIONAL FEE
X\$ 9=	7	OR	X\$18=	
X42=		OR	X84=	176.00
+140=		OR	+280=	70
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	176.00

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	*	Minus **	=
Independent	*	Minus ***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

RATE	ADDITIONAL FEE	OR	RATE	ADDITIONAL FEE
X\$ 9=		OR	X\$18=	
X42=		OR	X84=	
+140=		OR	+280=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	*	Minus **	=
Independent	*	Minus ***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

RATE	ADDITIONAL FEE	OR	RATE	ADDITIONAL FEE
X\$ 9=		OR	X\$18=	
X42=		OR	X84=	
+140=		OR	+280=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

IN THE

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## UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Arman Glodjo, Nathan D. Bronson, and Scott E. Harrington  
SERIAL NO.: 10/005,609  
FILING DATE: November 7, 2001  
TITLE: Global Electronic Trading System  
EXAMINER: Alain L. Bashore  
GROUP ART UNIT: 3624  
ATTY. DKT. NO.: 44422593-6028

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this Amendment D is, on the date shown below, being transmitted via facsimile under 37 C.F.R. § 1.8(a) to the United States Patent and Trademark Office, ATTN: Examiner Alain L. Bashore, Art Unit 3624, (703) 872-9306 on December 7, 2004.

Dated: December 7, 2004By: Edward J. Radio

Edward J. Radio, Reg. No.: 28,793

MAIL STOP AMENDMENT  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

AMENDMENT D

SIR:

In response to the Office Action mailed October 14, 2004, please enter the following amendments:

1

PAGE 3/26 \* RCVD AT 12/7/2004 4:09:34 PM (Eastern Standard Time) \* SVC:USPTO-EFAXF-10 \* CHIS:3728306 \* CSID:4155435472 \* DURATION (mm:ss):07:00

12/15/2004 SCALLIHA 00000004 193140 10005609  
01 FC:1201 176.00 DA